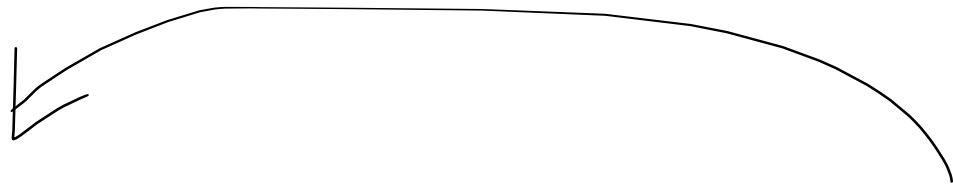


Long head movement in Finnish

Pauli Brattico 2021

Data overview



Myy-dä-kö₁ Pekka aikoo —₁ koko omaisuutensa?

Sell-A/INF-Q Pekka plans all possessions

'Does Pekka plan to sell all his possessions?' (Special prosody on *to sell*)

'Is it selling that Pekka plans to do with his possessions?'

Contents of this talk

- Background assumptions
- Reformulation of the problem
- Hypothesis 1: Phonological-perceptual theory (Chomsky 2001)
- Problems, and Finnish data in more detail
- Alternative hypotheses
 - Standard theory
 - Matushansky-style hypothesis
 - Remnant VP movement hypothesis
 - Other possibilities
- Construction of a positive model, Python formalization

Some background assumptions

- We'll use recognition grammar
- We'll work out a mathematical model, write it in Python, and calculate the data from the model

Establishing common ground: Morphological reconstruction in recognition grammar

Pekka aiko-o myy-dä koko omaisuutensa.

Pekka plan-PRS.3SG sell-A/INF all possessions

'Pekka plans to sell all his possessions.'

Morphology/lexicon

T + V

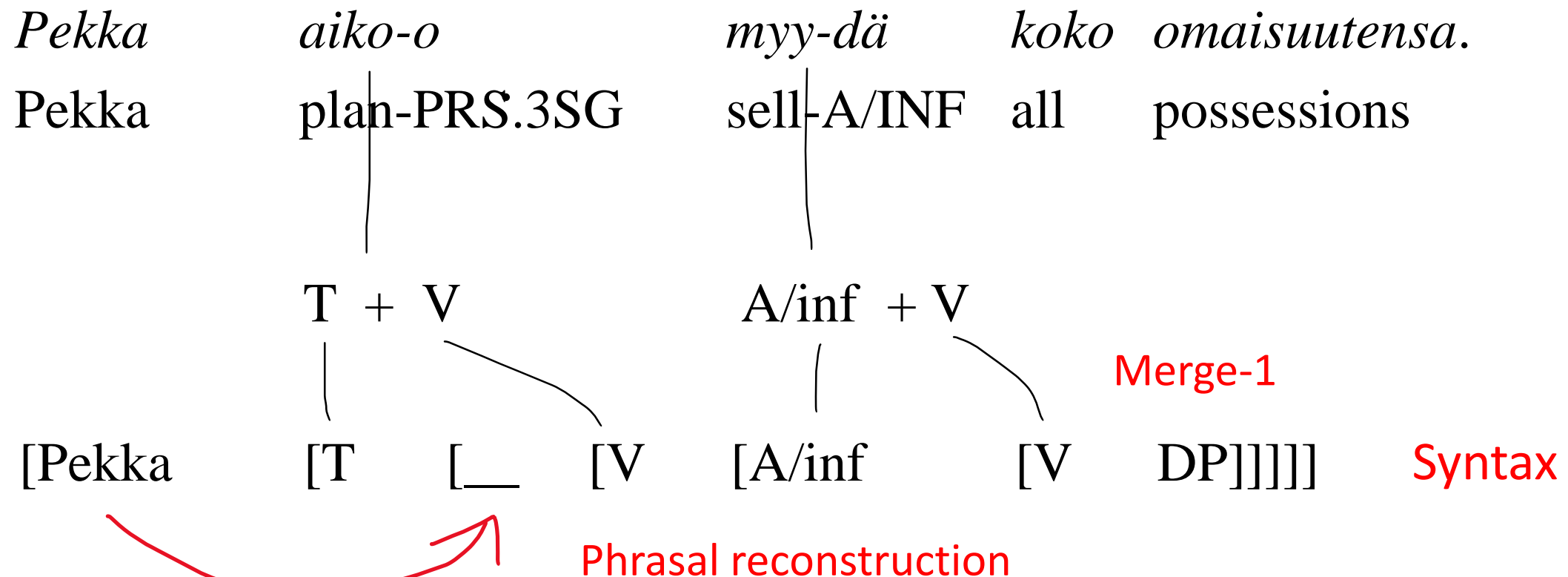
A/inf + V

Some processing

[Pekka₁ [T [___₁ [V [A/inf [V DP]]]]]] LF endpoint

Hypothesis 1: Phonological-perceptual theory

- Morphologically complex words are decomposed inside a presyntactic phonological-perceptual system (Chomsky 2001, recognition grammar Brattico & Chesi, 2020).



Consequences

- No complex heads in syntax;
- No head reconstruction in syntax;
- Complex words are invisible for semantic interpretation;
- Complex words constitute "sensorimotoric chunking."

Problems

(1) *Does John admire Mary?*
[_{TP} C T_[EPP] [_{vP} DP [_{vP} V V DP]]]

(2) *Aiko-o₁ Pekka —₁ myydä koko omaisuutensa?*
Plan-PRS-3SG Pekka sell-A/INF all possessions
| | |
┌──────────┬──────────┬──────────┐
[_{TP} T [_{VP} V [_{A/infP} Pekka [A/INF [V [all possessions]]]]]

↑
Is this really postverbal argument?

Comment

- The perceptual-phonological analysis works for morphological decomposition but not for head displacement;
- Sentences (1-2) are "borderline cases" where the perceptual-phonological theory could still work in principle, thus an efficient algorithm is still conceivable;
- This system collapses with Finnish LHM (next slide). No perceptual system is "intelligent" enough to process them.

Properties of Finnish LHM

Triggered by left peripheral C-particle Q –*kO* corresponding to (i) yes/no interrogativization and (ii) predicate clefting targeting the complex predicate for special interpretation ('was it selling...')

Many other left peripheral particles and their combinations are involved (more than twenty)

Unless triggered by C-feature(s), head reconstruction is HMC-compliant and local

(3) *Myy-dä-kö*₁ *Pekka* *aikoo* —₁ *koko* *omaisuutensa?*
Sell-A/INF-Q Pekka plans all possessions



This element occupies CP (C or SpecCP) and the same features are involved with phrasal \bar{A} movement/reconstruction

Examples of data

(1) Long-distance clefting

Myydäkö Pekka sanoi [että Merja aikoo ___ koko omaisuutensa?]
Sell.A/INF.Q Pekka said that Merja plans all possessions

(2) No extraction from left branch/subject

**Myydäkö [[_{DP} päätös ___ asunto] syntyi nopeasti?]*
Sell.A/INF.Q decision apartment emerged fast

Intended: 'Did the decision to sell the apartment emerge fast?'

And so on: the data is very clear on this point


Some conclusions

- The perceptual-phonological theory cannot be correct, I think
- Syntax must access "head reconstruction" (=mental operation that allows the hearer to register the canonical position of the fronted verb);
- Syntax must access "complex heads" (=syntactic object that has other heads inside it, in some way);
- "Head reconstruction," in whichever way it will be implemented, must access \bar{A} dependencies (Roberts 1993, 2010);
- In Finnish there is a clear distinction between \bar{A} -reconstruction involving operator features and local HMC-compliant reconstruction
- Semantic interpretation must have access to all of the above.

Problem reformulated

- Create a recognition grammar algorithm such that it calculates all the data and tells what are complex heads; what is long and local head reconstruction; what are \bar{A} dependencies; how these are interpreted semantically; explains what makes makes Finnish different; and has at least some crosslinguistic applicability.

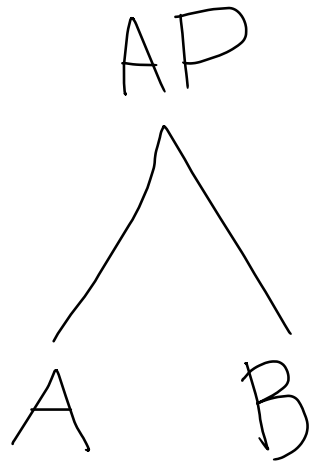
Some possibilities

(H1)	<i>Myy-dä-kö</i> ₁	<i>Pekka</i>	<i>aikoo</i> ___ ₁	<i>koko</i>	<i>omaisuutensa?</i>
	Sell-A/INF-Q	Pekka	plans	all	possessions
	[[[V v] A/inf] ₁ C] ⁰	DP	T V ___ ₁	DP	
					
(H2)	<i>Myy-dä-kö</i> ₁	<i>Pekka</i>	<i>aikoo</i> ___	<i>koko</i>	<i>omaisuutensa?</i>
	Sell-A/INF-Q	Pekka	plans	all	possessions
	C _{/myy-dä/} ⁰	DP	T V ___	DP	
(H3)	<i>Myy-dä-kö</i> ₁	C <i>Pekka</i>	<i>aikoo</i> ___	<i>koko</i>	<i>omaisuutensa?</i>
	Sell-A/INF-Q	Pekka	plans	all	possessions
	[_{A/infP} A/INF [v V ___ ₂]] ₁	C ⁰ DP	T V ___ ₁	DP ₂	

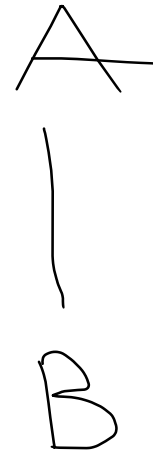
A positive model

Assumption 1

- Morphologically complex words are mapped into syntactically complex heads ("complex predicates")
- Complex phrase [_{AP} A B], complex head [_A B] (=linear list structure)



A and B are in the domain of phrasal rules and are pronounced as separate entities; recursion is possible




B is not in the domain of phrasal rules; A and B are pronounced as one phonological word; more primitive linear structure

Assumption 2

- Complex heads are reconstructed either by HMC-compliant A-reconstruction or \bar{A} -reconstruction depending on the absence/presence of \bar{A} -operator C-feature(s)(Roberts 1993: Ch. 1; 2010).

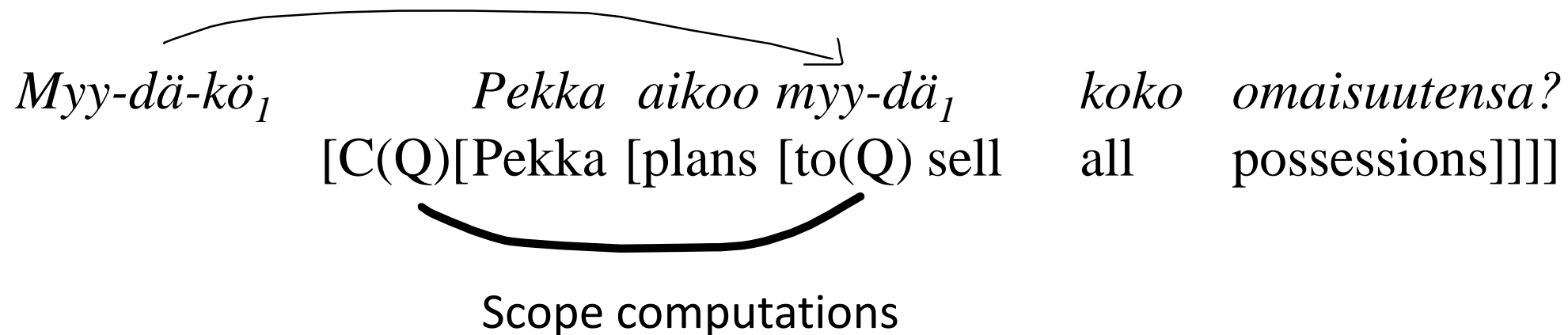
*Myy-dä-kö*₁ *Pekka* *aikoo* —₁ *koko* *omaisuutensa?*
Sell-A/INF-Q Pekka plans all possessions



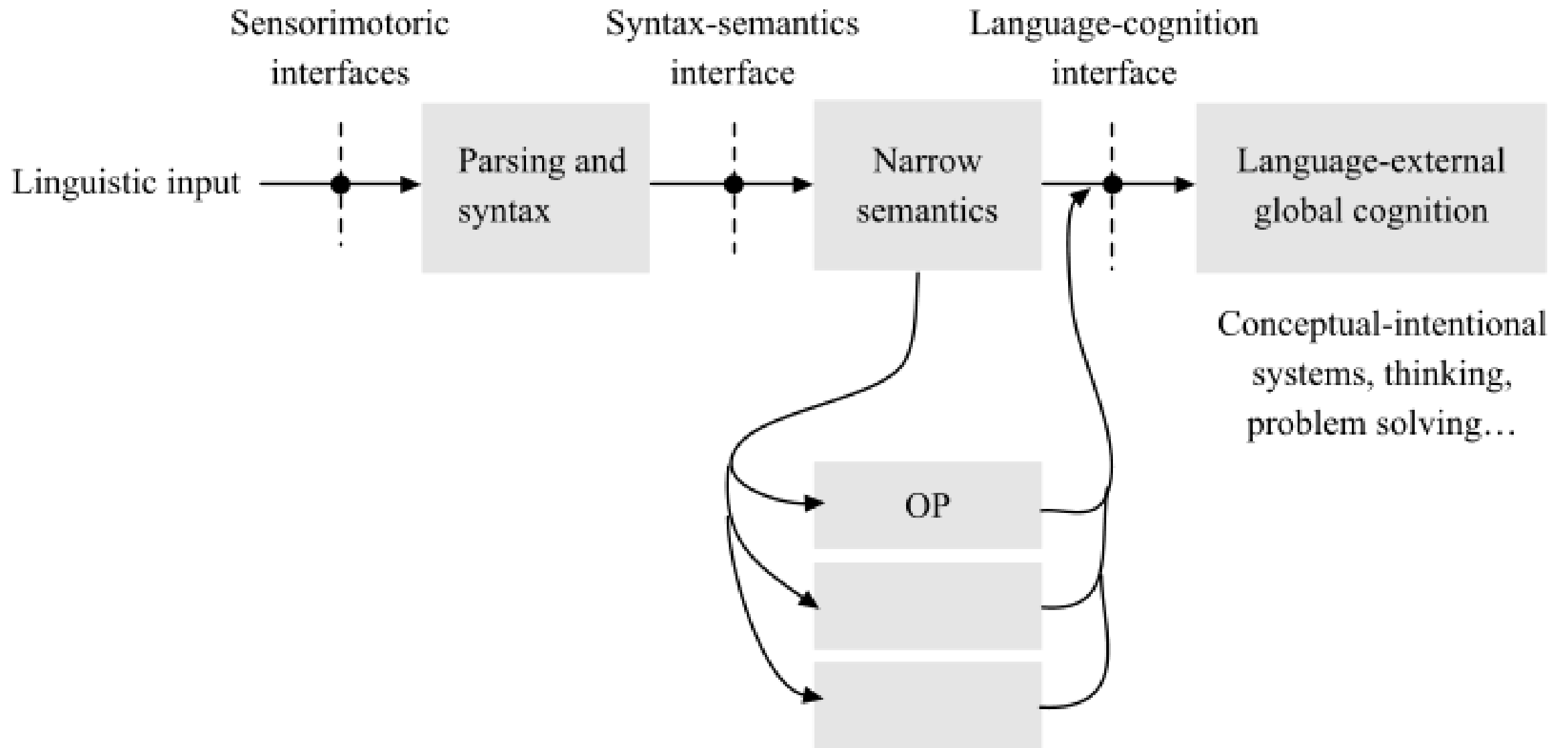
This element, which is an operator particle and is interpreted by the operator-variable module, triggers \bar{A} -reconstruction and generates a corresponding C head

Assumption 3

- \bar{A} -dependencies, whether phrasal or head, are interpreted by a special cognitive operator-variable module (Chomsky 2008 "duality of semantics" hypothesis), and only by this module.



- Q = operator feature (=goes into the special operator module for interpretation) with interrogative force



Assumption 4

- Phrasal \bar{A} movement (2) = \bar{A} head movement (1) + pied-piping (I. Roberts)

(1) *Myy-dä-kö*₁ *Pekka* *aikoo* ___₁ *koko omaisuutensa?*
Sell-A/INF-Q Pekka plans all possessions

(2) [*Koko omaisuutensa-ko*] *Pekka* *aikoo myydä* ___?
all possessions-Q Pekka plans sell-A/INF

Assumption 5

- Head reconstruction is literal minimal search following labeling/selection (dodging specifiers and right adjuncts) into first suitable gap position where the head can be selected "from above." To capture island effects, I used feature intervention for locality calculations.



- Minimal search = reconstruction operation, no probe or goal features

```
12 def reconstruct(self, phrase_structure):
13     # ----- upward sequence -----#
14     current_node = phrase_structure.bottom()
15     while not (current_node.root() and not self.detect_complex_head(current_node)):
16         targeted_head = self.detect_complex_head(current_node)
17         if targeted_head:
18             log(f'Reconstruct {targeted_head.right_const} from {targeted_head}...')
19             intervention_feature_set = self.determine_intervention_features(targeted_head)
20             current_node = self.create_head_chain(targeted_head, self.get_affix_out(targeted_head), intervention_feature_set)
21             log(f'={phrase_structure.top()}...')
22         else:
23             current_node = current_node.mother
24     # -----#
25     return phrase_structure.top()
```

```
27 def detect_complex_head(self, node):
28     if node.complex_head():
29         return node
30     if node.is_complex() and node.left_const.complex_head():
31         return node.left_const
```

```
33 def create_head_chain(self, complex_head, affix, intervention_feature_set):
34     if self.no_structure_for_reconstruction(complex_head):
35         complex_head.merge_1(affix, 'right')
36         return affix
37     else:
38         phrase_structure = complex_head.sister()
39         # ----- minimal search -----#
40         for node in phrase_structure:
41             if self.causes_intervention(node, intervention_feature_set, phrase_structure):
42                 log(f'{node.sister()} causes intervention with {intervention_feature_set}...')
43                 break
44             node.merge_1(affix, 'left')
45             if self.reconstruction_is_successful(affix):
46                 self.brain_model.consume_resources("Move Head")
47                 return affix
48             affix.remove()
49         # -----#
50         if not self.consider_right_merge(affix, node, phrase_structure):
51             self.last_resort(phrase_structure, affix)
52     return affix
```

Search complex heads
(bottom-up)

Minimal search
(top-down)

Assumption 6

- Finnish is special due to the large (20+) catalogue of "verbal" C-features functioning as operators and creating predicate clefting by \bar{A} dependencies

Python implementation

- The analysis was implemented in Python (general-purpose programming language) and tested over a set of constructions capturing the data;
- The model reads input sentences, analyses them, and produces grammaticality judgments, syntactic analyses and semantic interpretations;
- The program creates an idealized brain model for speaker of language L which contains a basic recursive comprehension cycle ("parser") plus the linguistic principles of interest (next slide).

Conceptual systems
 Universal conceptual system
 Semantic interpretation

9 Semantic interpretation

8 Syntax-semantics interface

Agreement reconstruction
 Phrasal reconstruction
 Head reconstruction

7 Transfer

Syntactic working memory
 Build-up of a language-specific syntactic representation or "motoric plan".

6 Merge-1

5 Lexical stream

4 Mirror principle

3 Surface lexicon

2 Linguistic preprocessing

Behavioral programs

Behavioral output

1 Sensory input

Lexico-morphological component
 Morphological decomposition (derivational, inflectional elements), retrieval of primitive lexical items, application of the mirror principle

Linear string of phonological words and prosodic information

Processing of physical stimuli, attention control, linguistic preprocessing (not modeled)

